

A Family of Regularity Classes for the 3D NSE Approximating a Critical Class

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ABSTRACT. Exploiting the localization of the vortex-stretching mechanism to small spatio-temporal scales obtained in [10] and geometric structure of the leading-order vortex-stretching term, a family of regularity classes approximating a critical, NSE-scaling invariant class is obtained.

1. INTRODUCTION

Let u be a weak solution to the 3D NSE

$$(1.1) \quad u_t - \Delta u + (u \cdot \nabla)u + \nabla p = 0,$$

supplemented with the incompressibility condition $\operatorname{div} u = 0$, on an open subset of the space time $D = \Omega \times (0, T)$. A function class \mathcal{F} on D is a regularity class for the 3D NSE if boundedness in \mathcal{F} implies local boundedness (in L^∞) of u on $\Omega \times (0, T]$.

A classical regularity class is the $L_x^q L_t^p$ Foias-Prodi-Serrin class requiring

$$\|u\|_q^{2q/(q-3)} \in L^1(0, T) \quad \text{for some } 3 < q \leq \infty;$$

for a proof of local boundedness as well as generalizations to the endpoint case $q = 3$ and to the weak Lebesgue spaces see [13, 16–18] and the references therein.

An analogous regularity class pertaining Du was obtained in [7],

$$\|Du\|_q^{2q/(2q-3)} \in L^1(0, T) \quad \text{for some } 3 \leq q < \infty;$$

the case $q = \infty$ follows from a different argument. In fact, the time integrability of $\|\omega\|_\infty$, where $\omega = \operatorname{curl} u$ is the vorticity, is a well-known Beale-Kato-Majda

criterion [1] (actually, less is required—the time integrability of the BMO-norm of ω [14]).

All of the aforementioned regularity classes are invariant with respect to the NSE scaling. More precisely, if $u(x, t)$ is a solution to the 3D NSE on, say $\mathbb{R}^3 \times (0, \infty)$, then $u_\lambda(x, t) = \lambda u(\lambda x, \lambda^2 t)$, $\lambda > 0$, is a solution as well, and a regularity class-norm of the rescaled solution u_λ coincides with the norm of the original solution u . A local version of this property is realized by rescaling parabolic space-time cylinders $Q_r(x_0, t_0) = B_r(x_0) \times (t_0 - r^2, t_0)$, $r > 0$, around a space-time point (x_0, t_0) in $\Omega \times (0, T)$.

A *geometric* approach to studying regularity in the 3D incompressible flows was pioneered by Constantin [4] where a singular integral representation of the stretching factor in the evolution of the vorticity magnitude was derived. The representation formula involves an explicit geometric kernel which is depleted by *local coherence* of the vorticity direction field.

This depletion mechanism was exploited in [5] to show that as long as the vorticity direction (in the region of intense vorticity) is Lipschitz-coherent, the solution remains regular. Following [5], it was shown in [8] that $\frac{1}{2}$ -Hölder coherence suffices, and in [11], a class of hybrid geometric-analytic conditions for regularity was obtained containing a purely geometric $\frac{1}{2}$ -Hölder coherence and a purely analytic Beale-Kato-Majda condition as the endpoint cases.

Restricting a representation formula for the vortex-stretching term on small, medium and large *spatial* scales, it was shown in [15] that the contribution of small scales is bounded by the dissipation, and that the contribution of the large scales is controlled by the known *a priori* estimates on the weak solutions. This result implied that, as long as the intense vorticity accumulates on small, sparsely populated sets, the solution remains regular. It is interesting that the *dissipation* scale transpired in this work is the same dissipation scale that appeared in the previous work [9] based on a totally different method (utilizing the sparseness of the vorticity super-level sets via a plurisubharmonic measure maximum principle in \mathbb{C}^3), and can be viewed as a localized vorticity-version of the Kolmogorov dissipation scale.

In a very recent work [10], a *localized* representation formula for the *vortex-stretching* term was obtained yielding localization of the evolution of the enstrophy to an arbitrarily small space-time cylinder $Q_r(x_0, t_0) = B_r(x_0) \times (t_0 - r^2, t_0)$. The proof merged the localization of the transport of the vorticity by the velocity previously obtained in [12] with the newly obtained localization of vortex-stretching (the localization of vortex-stretching presented in [12] utilized the singular integral representation formula for the vortex-stretching factor *over the whole space* \mathbb{R}^3 which was then split into small and large scales). The localization then lead to the regularity of *any* weak solution with a $\frac{1}{2}$ -Hölder coherent vorticity direction field *independently* of the type of the spatial domain or the boundary conditions.

In this paper, the aforementioned localization of the vortex-stretching mechanism coupled with a geometric structure of the leading-order vortex-stretching

term is utilized to show that boundedness of the gradient of the vorticity of a weak solution in a family of $L_x^q L_t^p$ -classes approximating a critical, scaling invariant class suffices to control the localized enstrophy preventing the singularity formation. For any μ , $0 < \mu < 1$, sufficiently close to 1, there exists $\varepsilon^*(\mu) > 0$ such that for each ε , $0 < \varepsilon \leq \varepsilon^*(\mu)$, the class in view is given by

$$\|\nabla \omega\|_{L^q(B(x_0, r))}^{2q/((3-\varepsilon)q-3)} \in L^1(t_0-r^2, t_0) \quad \text{for some } q, \frac{3}{3-\varepsilon} < q < \frac{3\mu}{2-\mu\varepsilon}.$$

Notice that the limit class

$$\|\nabla \omega\|_{L^q(B(x_0, r))}^{2q/(3q-3)} \in L^1(t_0-r^2, t_0) \quad \text{for some } q, 1 < q < \frac{3}{2},$$

is scaling invariant.

Another way of obtaining local $L_x^q L_t^p$ -regularity conditions in terms of the vorticity would be utilizing local regularity conditions in terms of the velocity, e.g., [19], via local regularity of the system $\Delta u = -\text{curl } \omega$, i.e., via the localized Biot-Savart law (cf. [10, Section 3]) and interpolating the low order terms between the *a priori* bounds on the weak solutions and the regularity condition. In contrast, the approach presented here is self-contained and illustrates a possibility of working with the localized vorticity without any reference to the velocity.

2. LOCALIZED EVOLUTION OF THE ENSTROPY

The vorticity formulation of the Navier-Stokes equations on a space-time domain $\Omega \times (0, T)$ reads

$$(2.1) \quad \omega_t - \Delta \omega + (u \cdot \nabla) \omega = (\omega \cdot \nabla) u.$$

The right-hand side is the vortex-stretching term which holds a key to controlling the evolution of the enstrophy, $\|\omega(t)\|_2^2$, and consequently a key to preventing the singularity formation in the model.

Fix a point (x_0, t_0) in $\Omega \times (0, T)$ and let $\psi(x, t) = \varphi(x)\eta(t)$ be a smooth cut-off function on $Q_{2r}(x_0, t_0)$ satisfying

$$\text{supp } \varphi \subset B(x_0, 2r), \quad \varphi = 1 \text{ on } B(x_0, r),$$

$$\frac{|\nabla \varphi|}{\varphi^\rho} \leq \frac{c}{r} \quad \text{for some } \rho \in (0, 1), \quad 0 \leq \varphi \leq 1,$$

and

$$\text{supp } \eta \subset (t_0 - (2r)^2, t_0], \quad \eta = 1 \text{ on } [t_0 - r^2, t_0],$$

$$|\eta'| \leq \frac{c}{r^2}, \quad 0 \leq \eta \leq 1.$$

It was shown in [12] and [10] that, choosing ρ sufficiently close to 1, it is possible to control *the lower order terms* in the localized transport term

$$\int_{Q_{2r}} (\mathbf{u} \cdot \nabla)\omega \cdot \psi^2\omega \, dx \, dt$$

(after integration by parts, the leading order transport term vanishes due to the incompressibility of the fluid) and in the localized vortex-stretching term

$$\int_{Q_{2r}} (\omega \cdot \nabla)\mathbf{u} \cdot \psi^2\omega \, dx \, dt,$$

respectively.

The following localization formula for the vortex-stretching term was obtained in [10],

$$\begin{aligned} (2.2) \quad & \varphi^2(x)(\omega \cdot \nabla)\mathbf{u} \cdot \omega(x) \\ &= \varphi(x) \frac{\partial}{\partial x_i} u_j(x) \varphi(x) \omega_i(x) \omega_j(x) \\ &= -cP.V. \int_{B(x_0, 2r)} (\omega(x) \times \omega(y)) \cdot G_\omega(x, y) \varphi(y) \varphi(x) \, dy + \text{VST}_{\text{lot}} \\ &= \text{VST} + \text{VST}_{\text{lot}} \end{aligned}$$

for x in $B(x_0, 2r)$, uniformly in time, where

$$(G_\omega(x, y))_k = \frac{\partial^2}{\partial x_i \partial y_k} \frac{1}{|x - y|} \omega_i(x)$$

and VST_{lot} denotes the terms that are either lower order for at least one order of the differentiation or/and less singular for at least one power of $|x - y|$ than the leading order term VST.

Let u be a weak solution on $\Omega \times (0, T)$, and let $0 < R < 1$ be such that $Q_{2R}(x_0, t_0) \subset \Omega \times (0, T)$. As in [10, 12], for simplicity of the exposition, we assume that u is smooth in an open parabolic cylinder $Q_{2R}(x_0, t_0)$ and obtain bounds on the enstrophy localized to $B_R(x_0, t_0)$ *uniformly* in $(t_0 - R^2, t_0)$. This assumption can be avoided, for example, considering a class of suitable weak solutions for which the initial vorticity is a finite Radon measure constructed as limits of smooth time-delayed approximations by Constantin in [3].

Fix $r \leq R$ and let s in $(t_0 - (2r)^2, t_0)$. The following estimate was derived in [12]:

$$\begin{aligned}
 & \frac{1}{2} \int_{B(x_0, 2r)} \varphi^2(x) |\omega|^2(x, s) \, dx + \int_{Q_{2r}^s} |\nabla(\psi\omega)|^2 \, dx \, dt \\
 & \leq \int_{Q_{2r}} (|\eta| |\partial_t \eta| + |\nabla \psi|^2) |\omega|^2 \, dx \, dt \\
 & \quad + \left| \int_{Q_{2r}^s} (u \cdot \nabla) \omega \cdot \psi^2 \omega \, dx \, dt \right| + \left| \int_{Q_{2r}^s} (\omega \cdot \nabla) u \cdot \psi^2 \omega \, dx \, dt \right| \\
 & = T_1 + T_2 + T_3 \\
 & \leq \frac{1}{2} \int_{Q_{2r}} |\nabla(\psi\omega)|^2 \, dx \, dt + c(r) \int_{Q_{2r}} |\omega|^2 \, dx \, dt + T_3
 \end{aligned}$$

where $Q_{2r}^s = B(x_0, 2r) \times (t_0 - (2r)^2, s)$. (The localized transport term is first integrated by parts; the resulting leading order term vanishes due to the incompressibility and the lower order term is then estimated choosing the cut-off parameter ρ , $\frac{1}{2} \leq \rho < 1$).

Notice that

$$T_3 \leq \left| \int_{Q_{2r}^s} \eta^2 \text{VST} \, dx \, dt \right| + \left| \int_{Q_{2r}^s} \eta^2 \text{VST}_{\text{lot}} \, dx \, dt \right|.$$

Choosing ρ sufficiently close to 1, the second term (the sum of all the lower order vortex-stretching terms) can be bounded (cf. [10]) by

$$\begin{aligned}
 & \max \left\{ c \|\nabla u\|_{L^2(Q_{2r})}, \frac{1}{4} \right\} \left(\frac{1}{2} \sup_{t \in (t_0 - (2r)^2, t_0)} \|\varphi \omega(t)\|_{L^2(B(x_0, 2r))}^2 \right. \\
 & \quad \left. + \|\nabla(\psi\omega)\|_{L^2(Q_{2r})}^2 \right) + M(r, \|\nabla u\|_{L^2(Q_{2r})}).
 \end{aligned}$$

It was shown in [10] that $\frac{1}{2}$ -Hölder coherence of the vorticity direction field depletes the leading order vortex-stretching term preventing the singularity formation.

Here, we take a different approach: instead of assuming coherence of the vorticity direction, we will utilize the geometric structure of the leading order vortex-stretching term to rewrite it in a suitable form and then show that boundedness of $\nabla \omega$ in a family of $L_x^q L_t^p$ -classes approximating a critical, scaling invariant class prevents the formation of singularities.

3. A FAMILY OF REGULARITY CLASSES APPROXIMATING A CRITICAL CLASS

Theorem 3.1. *Let u be a weak solution on a space-time domain $\Omega \times (0, T)$. For any μ , $0 < \mu < 1$, sufficiently close to 1, there exists $\varepsilon^*(\mu) > 0$ such that for each ε , $0 < \varepsilon \leq \varepsilon^*(\mu)$, the following is true.*

Fix a point (x_0, t_0) in $\Omega \times (0, T)$, and let $0 < R < \frac{1}{4}$ be such that $Q_{2R}(x_0, t_0) = B(x_0, 2R) \times (t_0 - (2R)^2, t_0)$ is contained in $\Omega \times (0, T)$. Suppose that

$$\|\nabla \omega\|_{L^q(B(x_0, 2R))}^{2q/((3-\varepsilon)q-3)} \in L^1(t_0 - (2R)^2, t_0) \quad \text{for some } q, \frac{3}{3-\varepsilon} < q < \frac{3\mu}{2-\mu\varepsilon}.$$

Let u be smooth in the open parabolic cylinder $Q_{2R}(x_0, t_0)$. Then the localized enstrophy remains uniformly bounded up to $t = t_0$, i.e.,

$$\sup_{t \in (t_0 - R^2, t_0)} \int_{B(x_0, R)} |\omega|^2(x, t) \, dx < \infty.$$

Remark 3.2. As already mentioned, the assumption on u being smooth in the open cylinder can be avoided if u is, for example, a suitable weak solution constructed as a limit of a family of retarded mollifications (cf. [3]). Then the calculations presented in [12, pp. 558-559] can be carried out on the smooth approximations and the resulting bounds are inherited by the limit weak solutions.

Proof. Let $r \leq R$. Recall that the leading order vortex-stretching term is given by

$$(3.1) \quad \int_{Q_{2r}^s} \eta^2(t) \text{VST} \, dx \, dt = c \int_{Q_{2r}^s} P.V. \int_{B(x_0, 2r)} (\omega(x, t) \times \omega(y, t)) \times G_\omega(x, y, t) \psi(y, t) \psi(x, t) \, dy \, dx \, dt$$

where

$$(G_\omega(x, y, t))_k = \frac{\partial^2}{\partial x_i \partial y_k} \frac{1}{|x - y|} \omega_i(x, t).$$

Writing $\omega(y, t) = \omega(x, t) + (\omega(y, t) - \omega(x, t))$, the above expression can be rewritten as

$$c \int_{Q_{2r}^s} P.V. \int_{B(x_0, 2r)} (\omega(x, t) \times (\omega(y, t) - \omega(x, t))) \times G_\omega(x, y, t) \psi(y, t) \psi(x, t) \, dy \, dx \, dt;$$

this yields the following bound

$$c \int_{Q_{2r}^s} P.V. \int_{B(x_0, 2r)} \frac{1}{|x - y|^3} |\omega(y, t) - \omega(x, t)| \times \psi(y, t) \, dy \, \psi(x, t) |\omega(x, t)|^2 \, dx \, dt = I.$$

Before continuing the estimate, we need to morph as much y -localization into x -localization as possible. Start with writing $\psi(y, t) = \psi(x, t) + (\psi(y, t) - \psi(x, t))$. This leads to

$$\begin{aligned} I &\leq c \int_{Q_{2r}} P.V. \int_{B(x_0, 2r)} \frac{1}{|x - y|^3} |\omega(y, t) - \omega(x, t)| \, dy \, |(\psi\omega)(x, t)|^2 \, dx \, dt \\ &\quad + c \int_{Q_{2r}} P.V. \int_{B(x_0, 2r)} \frac{1}{|x - y|^3} |\omega(y, t) - \omega(x, t)| \\ &\quad \times |\psi(y, t) - \psi(x, t)| \, dy \, \psi(x, t) |\omega(x, t)|^2 \, dx \, dt \\ &= I_1 + I_2. \end{aligned}$$

Applying the Fundamental Theorem of Calculus to each ω_i ,

$$\omega_i(y, t) - \omega_i(x, t) = \int_0^1 \nabla \omega_i((1 - \lambda)x + \lambda y, t) \cdot (y - x) \, d\lambda,$$

and introducing the change of variables $z = x + \lambda(y - x)$, the following bound transpires

$$\begin{aligned} P.V. \int_{B(x_0, 2r)} \frac{1}{|x - y|^3} |\omega(y, t) - \omega(x, t)| \, dy \\ \leq c \frac{1}{\varepsilon} \int_{B(x_0, 2r)} \frac{1}{|x - z|^{2+\varepsilon}} |\nabla \omega(z, t)| \, dz, \end{aligned}$$

for any $0 < \varepsilon < 1$. Consequently,

$$I_1 \leq c \frac{1}{\varepsilon} \int_{Q_{2r}} \int_{B(x_0, 2r)} \frac{1}{|x - z|^{2+\varepsilon}} |\nabla \omega(z, t)| \, dz \, |(\psi\omega)(x, t)|^2 \, dx \, dt,$$

for any $0 < \varepsilon < 1$. For simplicity, we present the estimates on the integral in the case $\varepsilon = 0$; the result for a small ε will follow by changing the spatial integrability index variable q .

Let q be in $(1, 3)$. Applying Hölder (in x) with the exponents

$$r = \frac{3q}{3 - q} \quad \text{and} \quad s = \frac{3q}{4q - 3}$$

and then the weak Young with the exponents

$$1 + \frac{1}{r} = \frac{2}{3} + \frac{1}{q}$$

to the first factor yields

$$I_1 \leq c \int_{t_0-(2r)^2}^{t_0} \|\nabla \omega(t)\|_{L^q(B(x_0, 2r))} \|(\psi \omega)(t)\|_{L^{2s}(\mathbb{R}^3)}^2 dt.$$

Interpolating the second factor in the integral,

$$I_1 \leq c \int_{t_0-(2r)^2}^{t_0} \|\nabla \omega(t)\|_{L^q(B(x_0, 2r))} \|(\psi \omega)(t)\|_{L^2(\mathbb{R}^3)}^{(3q-3)/q} \|\nabla(\psi \omega)(t)\|_{L^2(\mathbb{R}^3)}^{(3-q)/q} dt.$$

Applying Hölder with the exponents

$$p_1 = \frac{2q}{3q-3}, \quad p_2 = \infty, \quad p_3 = \frac{2q}{3-q}$$

implies the final bound on I_1 ,

$$I_1 \leq c \left(\int_{t_0-(2r)^2}^{t_0} \|\nabla \omega(t)\|_{L^q(B(x_0, 2r))}^{2q/(3q-3)} \right)^{(3q-3)/(2q)} \times \left(\frac{1}{2} \sup_{t \in (t_0-(2r)^2, t_0)} \|\varphi \omega(t)\|_{L^2(B(x_0, 2r))}^2 + \|\nabla(\psi \omega)\|_{L^2(Q_{2r})}^2 \right).$$

Back to I_2 . Similarly as before,

$$\begin{aligned} P.V. \int_{B(x_0, 2r)} \frac{1}{|x-y|^3} |\omega(y, t) - \omega(x, t)| |\psi(y, t) - \psi(x, t)| dy \\ \leq c \frac{1}{\varepsilon^2} \int_{B(x_0, 2r)} \frac{1}{|x-z|^{1+2\varepsilon}} |\nabla \omega(z, t)| |\nabla \psi(z, t)| dy \end{aligned}$$

for any $0 < \varepsilon < 1$.

Let $\frac{1}{2} \leq \rho < 1$. Then (cf. [10]),

$$|\nabla \psi(z, t)| \leq c(\rho) \frac{1}{r} \psi^\rho(x, t) + c(r, \rho) |x - z|.$$

This yields

$$\begin{aligned} I_2 \leq c(\rho) \frac{1}{\varepsilon^2} \int_{Q_{2r}} \int_{B(x_0, 2r)} \frac{1}{|x-z|^{1+2\varepsilon}} |\nabla \omega(z, t)| dz \\ \times \left(\frac{1}{r} |\omega(x, t)|^{1-\rho} \right) |(\psi \omega)(x, t)|^{1+\rho} dx dt \\ + c(r, \rho) \frac{1}{\varepsilon^2} \int_{Q_{2r}} \int_{B(x_0, 2r)} \frac{1}{|x-z|^{2\varepsilon}} |\nabla \omega(z, t)| dz \\ \times |\omega(x, t)| |(\psi \omega)(x, t)| dx dt = A + B \end{aligned}$$

for any $0 < \varepsilon < 1$. As for I_1 , we estimate the integrals in the case $\varepsilon = 0$ and address the case of arbitrary small ε at the end.

Note that the structure of A and B is completely analogous to the structure of the lower order terms A and B in [10]—the only difference being that ∇u is now replaced by $\nabla \omega$ and the the powers of $|x - z|$ are for one power less singular.

For A , notice that were the limit case $\rho = 1$ possible, we would have an expression of the form

$$\int_{Q_{2r}} \int_{B(x_0, 2r)} \frac{1}{|x - z|} |\nabla \omega(z, t)| dz |(\psi \omega)(x, t)|^2 dx dt;$$

the same integrand as in I_1 except for one power of $|x - z|$ less singular. Consequently, we can choose ρ (sufficiently close to 1) in such a way that separating out the $((1/r)|\omega(x, t)|^{1-\rho})$ factor (and estimating it by a quantity depending only on r and $\|\omega\|_{L^2(Q_{2r})}$) leads to a desired bound.

For B , simply write

$$\begin{aligned} B &\leq c_1(r, \rho) \int_{t_0 - (2r)^2}^{t_0} \|\nabla \omega(t)\|_{L^1(B(x_0, 2r))} \\ &\quad \times \|\omega(t)\|_{L^2(B(x_0, 2r))} \|\psi \omega(t)\|_{L^2(B(x_0, 2r))} dt \\ &\leq \frac{1}{4} \sup_{t \in (t_0 - (2r)^2, t_0)} \|\varphi \omega(t)\|_{L^2(B(x_0, 2r))}^2 \\ &\quad + c_2(r, \rho) \left(\int \|\nabla \omega(t)\|_{L^1(B(x_0, 2r))}^2 dt \right) \left(\int \|\omega(t)\|_{L^2(B(x_0, 2r))}^2 dt \right) \\ &\leq \frac{1}{4} \sup_{t \in (t_0 - (2r)^2, t_0)} \|\varphi \omega(t)\|_{L^2(B(x_0, 2r))}^2 \\ &\quad + c_2(r, \rho) \left(\int (1 + \|\nabla \omega(t)\|_{L^q(B(x_0, 2r))})^{2q/(3q-3)} dt \right) \\ &\quad \times \left(\int \|\omega(t)\|_{L^2(B(x_0, 2r))}^2 dt \right) \end{aligned}$$

whenever

$$\frac{2q}{3q - 3} \geq 2,$$

i.e., q in $(1, \frac{3}{2}]$.

Collecting all the estimates yields, for q in $(1, \frac{3}{2})$,

$$\begin{aligned}
 & \frac{1}{2} \sup_{t \in (t_0 - (2r)^2, t_0)} \|\varphi \omega(t)\|_{L^2(B(x_0, 2r))}^2 + \|\nabla(\psi \omega)\|_{L^2(Q_{2r})}^2 \\
 & \leq \frac{1}{2} \|\nabla(\psi \omega)\|_{L^2(Q_{2r})}^2 + \max \left\{ c \|\nabla u\|_{L^2(Q_{2r})}, \frac{1}{4} \right\} \\
 & \quad \times \left(\frac{1}{2} \sup_{t \in (t_0 - (2r)^2, t_0)} \|\varphi \omega(t)\|_{L^2(B(x_0, 2r))}^2 + \|\nabla(\psi \omega)\|_{L^2(Q_{2r})}^2 \right) \\
 & \quad + c \left(\int_{t_0 - (2r)^2}^{t_0} \|\nabla \omega(t)\|_{L^q(B(x_0, 2r))}^{2q/(3q-3)} \right)^{(3q-3)/(2q)} \\
 & \quad \times \left(\frac{1}{2} \sup_{t \in (t_0 - (2r)^2, t_0)} \|\varphi \omega(t)\|_{L^2(B(x_0, 2r))}^2 + \|\nabla(\psi \omega)\|_{L^2(Q_{2r})}^2 \right) \\
 & \quad + \frac{1}{8} \sup_{t \in (t_0 - (2r)^2, t_0)} \|\varphi \omega(t)\|_{L^2(B(x_0, 2r))}^2 + M(r, \text{bounded quantities}).
 \end{aligned}$$

Since u is a weak solution, there exists $d_1^* > 0$ such that

$$c \|\nabla u\|_{L^2(Q_{2\delta})} \leq \frac{1}{4}$$

for all $\delta \leq d_1^*$. By the assumption, $\|\nabla \omega\|_{L^q(B(x_0, 2R))}^{2q/(3q-3)} \in L^1(t_0 - (2R)^2, t_0)$; hence, there exists $d_2^* > 0$ such that

$$c \left(\int_{t_0 - (2\delta)^2}^{t_0} \|\nabla \omega(t)\|_{L^q(B(x_0, 2\delta))}^{2q/(3q-3)} \right)^{(3q-3)/(2q)} \leq \frac{1}{4}$$

for all $\delta \leq d_2^*$.

Let d^* be the minimum between d_1^* and d_2^* . If $r \leq d^*$, the nonlinearity is absorbed. If $r > d^*$, we can cover $B(x_0, 2r)$ with finitely many balls $B(x_k, 2d^*)$ and repeat the argument on each cylinder $B(x_k, 2d^*) \times (t_0 - (2d^*)^2, t_0)$.

Consider now a small positive ε .

For I_1 , writing $1 + 1/r = (2 + \varepsilon)/3 + 1/q_\varepsilon = \frac{2}{3} + 1/q$ and renaming q_ε q , it transpires that the boundedness condition required is

$$\|\nabla \omega\|_{L^q(B(x_0, 2R))}^{2q/((3-\varepsilon)q-3)} \in L^1(t_0 - (2R)^2, t_0) \quad \text{for some } q, \frac{3}{3-\varepsilon} < q < \frac{3}{2-\varepsilon}.$$

For A , there is plenty of extra room between $1/|x - z|^{1+2\varepsilon}$ and $1/|x - z|^2$; hence no additional restrictions are needed.

For B , there is some extra room in the space-time powers in the estimate on

$$\int \|\nabla \omega(t)\|_{L^1(B(x_0, 2r))}^2 dt;$$

however, it also depends on ε . It is possible to modify the estimates by further refining the cut-off function φ in order to have a good control on the higher order derivatives and use the Mean Value Theorem inductively to close the estimates. This is quite laborious. Instead, let us simply restrict q to the interval $(3/(3 - \varepsilon), 3\mu/(2 - \mu\varepsilon))$ for a $\mu, 0 < \mu < 1, \mu$ close to 1. This provides extra space in the space-time powers that is independent of ε and the singular kernel can readily be absorbed for small $\varepsilon, 0 < \varepsilon \leq \varepsilon^*(\mu)$. \square

Remark 3.3. If u is a suitable weak solution constructed in [3], the lower order term B can almost be estimated by *a priori* bounded quantities.

Let β be in $[\frac{4}{5}, 1), \tau > 0, \gamma$ in $(0, \frac{1}{2}]$.

$$\int |\nabla \omega|^\beta dx \leq \left(\int |\nabla \omega|^2 \frac{1}{(1 + \tau^2 |\omega|^2)^{(1+\gamma)/2}} dx \right)^{\beta/2} \times \left(\int (1 + \tau^2 |\omega|^2)^{((1+\gamma)/2)(\beta/(2-\beta))} dx \right)^{(2-\beta)/2}.$$

Choosing γ such that $((1 + \gamma)/2)(\beta/(2 - \beta)) = \frac{1}{2}$, raising both sides to the power of $2/\beta$ and integrating in time yields

$$\int \left[\int |\nabla \omega|^\beta dx \right]^{2/\beta} dt \leq \left(\sup_t \int (1 + \tau^2 |\omega|^2)^{1/2} dx \right)^{3/2} \times \iint |\nabla \omega|^2 \frac{1}{(1 + \tau^2 |\omega|^2)^{(1+\gamma)/2}} dx dt$$

and this is finite for any $\gamma = 2(1 - \beta)/\beta$ in $(0, \frac{1}{2}]$ (cf. [3]).

Remark 3.4. For $q = \frac{4}{3}$, the boundedness condition needed to prevent the formation of singularities is

$$\|\nabla \omega\|_{4/3}^{8/(3-4\varepsilon)} \in L^1_t;$$

on the other hand, the *a priori* bound obtained in [3] is

$$\|\nabla \omega\|_{4/(3+\gamma)}^{4/(3+\gamma)} \in L^1_t.$$

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