

# *Frequency Localized Regularity Criteria for the 3D Navier–Stokes Equations*

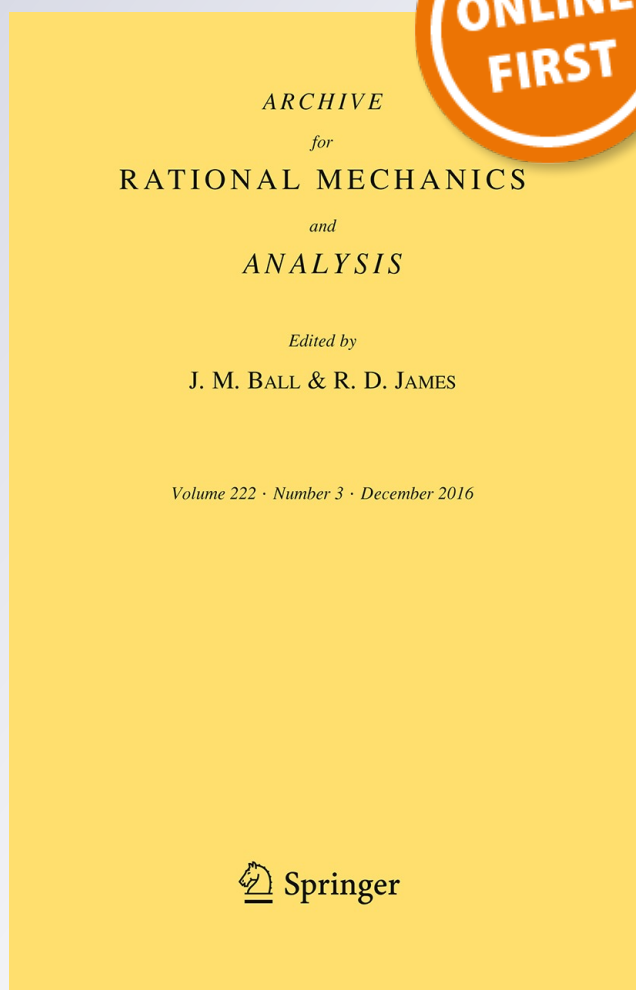
**Z. Bradshaw & Z. Grujić**

**Archive for Rational Mechanics and Analysis**

ISSN 0003-9527

Arch Rational Mech Anal

DOI 10.1007/s00205-016-1069-9



**Your article is protected by copyright and all rights are held exclusively by Springer-Verlag Berlin Heidelberg. This e-offprint is for personal use only and shall not be self-archived in electronic repositories. If you wish to self-archive your article, please use the accepted manuscript version for posting on your own website. You may further deposit the accepted manuscript version in any repository, provided it is only made publicly available 12 months after official publication or later and provided acknowledgement is given to the original source of publication and a link is inserted to the published article on Springer's website. The link must be accompanied by the following text: "The final publication is available at [link.springer.com](http://link.springer.com)".**



# *Frequency Localized Regularity Criteria for the 3D Navier–Stokes Equations*

Z. BRADSHAW & Z. GRUJIĆ

*Communicated by V. ŠVERÁK*

## Abstract

Two regularity criteria are established to highlight which Littlewood–Paley frequencies play an essential role in possible singularity formation in a Leray–Hopf weak solution to the Navier–Stokes equations in three spatial dimensions. One of these is a frequency localized refinement of known Ladyzhenskaya–Prodi–Serrin-type regularity criteria restricted to a finite window of frequencies, the lower bound of which diverges to  $+\infty$  as  $t$  approaches an initial singular time.

## 1. Introduction

The Navier–Stokes equations governing the evolution of a viscous, incompressible flow's velocity field  $u$  in  $\mathbb{R}^3 \times (0, T)$  read

$$\begin{aligned} \partial_t u + u \cdot \nabla u &= -\nabla p + \nu \Delta u + f && \text{in } \mathbb{R}^3 \times (0, T) \\ \nabla \cdot u &= 0 && \text{in } \mathbb{R}^3 \times (0, T), \end{aligned} \quad (3D \text{ NSE})$$

where  $\nu$  is the viscosity coefficient,  $p$  is the pressure, and  $f$  is the forcing. For convenience we take  $f$  to be zero and set  $\nu = 1$ . The flow evolves from an initial vector field  $u_0$  taken in an appropriate function space.

The regularity of Leray–Hopf weak solutions (i.e. distributional solutions for  $u_0 \in L^2$  that satisfy the global energy inequality and belong to  $L^\infty(0, T; L^2) \cap L^2(0, T; H^1)$  for any  $T > 0$ ) remains an open problem. The best results available rely on critical quantities being finite, that is quantities which are invariant given the natural scaling associated with the Navier–Stokes equations. In this note we provide several regularity criteria which highlight the essential role of high frequencies in a possibly singular Leray–Hopf weak solution.

Frequencies are interpreted in the Littlewood–Paley sense. Let  $\lambda_j = 2^j$  for  $j \in \mathbb{Z}$  be measured in inverse length scales and let  $B_r$  denote the ball of radius  $r$  centered at the origin. Fix a non-negative, radial cut-off function  $\chi \in C_0^\infty(B_1)$  so that

$\chi(\xi) = 1$  for all  $\xi \in B_{1/2}$ . Let  $\phi(\xi) = \chi(\lambda_1^{-1}\xi) - \chi(\xi)$  and  $\phi_j(\xi) = \phi(\lambda_j^{-1})(\xi)$ . Suppose that  $u$  is a vector field of tempered distributions and let  $\Delta_j u = \mathcal{F}^{-1}\phi_j * u$  for  $j \in \mathbb{N}$  and  $\Delta_{-1} = \mathcal{F}^{-1}\chi * u$ . Then,  $u$  can be written as

$$u = \sum_{j \geq -1} \Delta_j u.$$

If  $\mathcal{F}^{-1}\phi_j * u \rightarrow 0$  as  $j \rightarrow -\infty$  in the space of tempered distributions, then for  $j \in \mathbb{Z}$  we define  $\dot{\Delta}_j u = \mathcal{F}^{-1}\phi_j * u$  and have

$$u = \sum_{j \in \mathbb{Z}} \dot{\Delta}_j u.$$

For  $s \in \mathbb{R}$ ,  $1 \leq p, q \leq \infty$  the homogeneous Besov spaces include tempered distributions modulo polynomials for which the norm

$$\|u\|_{\dot{B}_{p,q}^s} := \begin{cases} \left( \sum_{j \in \mathbb{Z}} \left( \lambda_j^s \|\dot{\Delta}_j u\|_{L^p(\mathbb{R}^n)} \right)^q \right)^{1/q} & \text{if } q < \infty, \\ \sup_{j \in \mathbb{Z}} \lambda_j^s \|\dot{\Delta}_j u\|_{L^p(\mathbb{R}^n)} & \text{if } q = \infty \end{cases},$$

is finite. See [2] for more details.

Given a Leray–Hopf weak solution  $u$  that belongs to  $C(0, T; \dot{B}_{\infty, \infty}^{-\epsilon})$  for some  $\epsilon \in (0, 1)$ , we define the following upper and lower endpoint frequencies: for  $t$  in  $(0, T)$  let

$$J_{\text{high}}(t) = \log_2 \left[ c_1 \|u(t)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}^{1/(1-\epsilon)} \right], \quad (1)$$

and

$$J_{\text{low}}(t) = \log_2 \left[ \left( c_2 \frac{\|u(t)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}}{\|u\|_{L^\infty(0, T; L^2)}} \right)^{2/(3-2\epsilon)} \right], \quad (2)$$

where  $c_1$  and  $c_2$  are universal constants (their values will become clear in Section 2). Our first regularity criterion shows  $J_{\text{low}}$  and  $J_{\text{high}}$  determine the Littlewood–Paley frequencies which, if well behaved at a finite number of times prior to a possible blow-up time, prevent singularity formation.

**Theorem 1.** Fix  $\epsilon \in (0, 1)$  and  $T > 0$ , and assume that  $u \in C(0, T; \dot{B}_{\infty, \infty}^{-\epsilon})$  is a Leray–Hopf weak solution to 3D NSE on  $[0, T]$ . If there exists  $t_0 \in (0, T)$  such that

$$\sup_{J_{\text{low}}(t_0) \leq j \leq J_{\text{high}}(t_0)} \lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t_i)\|_{L^\infty} \leq \|u(t_0)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}, \quad (3)$$

where  $\{t_i\}_{i=1}^k \subset (t_0, T)$  is a finite collection of  $k$  times satisfying

$$t_{i+1} - t_i > \left( \frac{c_3}{\|u(t_0)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}} \right)^{2/(1-\epsilon)} \quad (i = 0, \dots, k-1),$$

and

$$T - t_k < \left( \frac{2c_3}{\|u(t_0)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}} \right)^{2/(1-\epsilon)}$$

for a universal constant  $c_3$ , then  $u$  can be smoothly extended beyond time  $T$ .

The novelty here is that the solution remains finite provided only a finite range of frequencies remain subdued at a finite number of uniformly spaced times. If  $u$  is not in the energy class then a partial result can be formulated since  $J_{\text{high}}$  does not depend on  $\|u\|_{L^\infty(0,T;L^2)}$ . In particular, we just need to replace (3) with

$$\sup_{j \leq J_{\text{high}}(t)} \lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t_i)\|_{L^\infty} \leq \|u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}},$$

and assume  $u$  is the mild solution for  $u_0 \in \dot{B}_{\infty,\infty}^{-\epsilon}$  which is a strong solution on  $[0, T)$  (note that a local-in-time existence theory for mild solution is available in  $\dot{B}_{\infty,\infty}^{-\epsilon}$ ).

Our second result is a refinement of a well known class of regularity criteria (see, e.g., [7]): if  $u$  is a Leray–Hopf weak solution to 3D NSE on  $\mathbb{R}^3 \times [0, T]$  satisfying

$$\int_0^T \|u\|_{L^p}^q dt < \infty,$$

for pairs  $(p, q)$  where  $3 \leq p \leq \infty, 2 \leq q \leq \infty$ , and

$$\frac{2}{q} + \frac{3}{p} = 1,$$

then  $u$  is smooth. This is the Ladyzhenskaya–Prodi–Serrin class for non-endpoint values of  $(p, q)$ . The case  $p = \infty$  is the Beale–Kato–Majda regularity criteria. The case  $p = 3$  was only (relatively) recently proven in [5]. Similar criteria can be formulated for a variety of spaces larger than  $L^p$  when  $p > 3$ . For example, Cheskidov and Shvydkoy give the following Ladyzhenskaya–Prodi–Serrin-type regularity criteria in Besov spaces (see [3]): if  $u$  is a Leray–Hopf solution and  $u \in L^{2/(1-\epsilon)}(0, T; \dot{B}_{\infty,\infty}^{-\epsilon})$ , then  $u$  is regular on  $(0, T]$ . A regularity criterion for weakly time integrable Besov norms in critical classes appears in [1]. In the endpoint case when  $\epsilon = -1$ , smallness is needed either over all frequencies (see [3]) or over high frequencies provided a Beale–Kato–Majda-type bound holds for the projection onto low frequencies (see [4]). Our result is essentially a refinement of the non-endpoint regularity criteria given in [3].

**Theorem 2.** Fix  $\epsilon \in (0, 1)$  and  $T > 0$ , and assume that  $u \in C(0, T; \dot{B}_{\infty,\infty}^{-\epsilon})$  is a Leray–Hopf weak solution to 3D NSE on  $[0, T]$ . If

$$\int_0^T \left( \sup_{J_{\text{low}}(t) \leq j \leq J_{\text{high}}(t)} \lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t)\|_{\infty} \right)^{2/(1-\epsilon)} dt < \infty,$$

then  $u$  is regular on  $(0, T]$ .

Clearly  $J_{\text{high}}$  blows up more rapidly than  $J_{\text{low}}$  as  $t \rightarrow T^-$  and therefore an increasing number of frequencies are relevant as we approach the possible blow-up time. It is unlikely that this can be improved for weak solutions in supercritical classes like Leray–Hopf solutions. On one hand, the upper cutoff is available because of local well-posedness for the subcritical quantity  $\|u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}$  which suppresses high frequencies at times close to and after  $t$ . On the other hand, the supercritical quantity  $\|u\|_{L^\infty(0,T;L^2)}$  plays a crucial role in suppressing low frequencies. Any supercritical quantity is sufficient; for example, if we replace  $L^\infty L^2$  with  $L^\infty L^p$  for some  $2 < p < 3$ , then the lower cutoff function is

$$J_{\text{low}}(t) = \log_2 \left[ \left( \frac{\|u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}}{c\|u\|_{L^\infty(0,T;L^p)}} \right)^{p/(3-p\epsilon)} \right].$$

Note that  $p/(3 - p\epsilon) = 1/(1 - \epsilon)$  only when  $p = 3$ , i.e. the exponents in the cutoffs will match only when we reach a critical class  $L^\infty(0, T; L^3)$ .

## 2. Technical Lemmas

The local existence of strong solutions for data in the subcritical space  $\dot{B}_{\infty,\infty}^{-\epsilon}$  is known, see [7]. Results in spaces close to  $\dot{B}_{\infty,\infty}^{-\epsilon}$  are given in [6,9]. Indeed, the proof of [6, Theorem 1] can be modified to show that if  $a \in \dot{B}_{\infty,\infty}^{-\epsilon}$ , then the Navier–Stokes equations have a unique strong solution  $u$  which persists at least until time

$$T_* = \left( \frac{c_0}{\|a\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}} \right)^{2/(1-\epsilon)}, \tag{4}$$

for a universal constant  $c_0$ . Moreover we have

$$\|u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}} \leq c_0 \|a\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}, \tag{5}$$

and

$$t^{1/2} \|\nabla u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}} \leq c_0 \|a\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}, \tag{6}$$

for any  $t \in (0, T_*)$  (the value of  $c_0$  changes from line to line but always represents a universal constant). Since the proof of this is nearly identical to the proof of [6, Theorem 1] it is omitted. Note that by [7, Proposition 3.2], the left hand side of (6) can be replaced by  $t^{1/2} \|u\|_{\dot{B}_{\infty,\infty}^{1-\epsilon}}$ .

Given a solution  $u$  and a time  $t$  so that  $u(t) \in \dot{B}_{\infty,\infty}^{-\epsilon}$ , let  $t' = t + T_*/2$  and  $t'' = t + T_*$  where  $T_*$  is as in (4) with  $a = u(t)$ . We now state and prove several (short) technical lemmas.

**Lemma 3.** Fix  $\epsilon \in [0, 3/2)$  and  $T > 0$ . If  $u$  is a Leray–Hopf weak solution to 3D NSE on  $[0, T]$  and  $u(t) \in \dot{B}_{\infty, \infty}^{-\epsilon}$  for some  $t \in [0, T]$ , then for any  $M > 0$  we have

$$\lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t)\|_{\infty} \leq M,$$

provided

$$j \leq \log_2 \left[ \left( c \frac{M}{\|u\|_{L^\infty(0, T; L^2)}} \right)^{2/(3-2\epsilon)} \right]$$

for a suitable universal constant  $c$ .

*Proof.* Assume  $u$  is a Leray–Hopf weak solution on  $[0, T]$  and  $t \in [0, T]$  such that  $\|u(t)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}} < \infty$ . By Bernstein's inequalities we have

$$\|\dot{\Delta}_j u(t)\|_{\infty} \leq \lambda_j^{3/2} \|\dot{\Delta}_j u(t)\|_2.$$

Since  $u \in L^\infty(0, T; L^2) = L^\infty(0, T; \dot{B}_{2, 2}^0)$ , for any  $j \in \mathbb{Z}$ ,

$$\lambda_j^{-\epsilon} \|\dot{\Delta}_j u\|_{\infty} \leq c \lambda_j^{3/2-\epsilon} \|u\|_{L^\infty(0, T; L^2)}.$$

Let

$$J(t) = \log_2 \left[ \left( \frac{M}{c \|u\|_{L^\infty(0, T; L^2)}} \right)^{2/(3-2\epsilon)} \right];$$

then

$$\sup_{j \leq J} \lambda_j^{-\epsilon} \|\dot{\Delta}_j u\|_{\infty} \leq M.$$

**Lemma 4.** Fix  $\epsilon \in (0, 1)$  and  $T > 0$ , and assume  $u$  is a Leray–Hopf weak solution to 3D NSE on  $[0, T]$  belonging to  $C(0, T; \dot{B}_{\infty, \infty}^{-\epsilon})$ . Then, for any  $t_1 \in (0, T)$  and all  $t \in [t'_1, t''_1]$  we have

$$\sup_{\{j \in \mathbb{Z}: j \leq J_{\text{low}} \text{ or } j \geq J_{\text{high}}\}} \|\dot{\Delta}_j u(t)\|_{L^\infty} \leq \frac{1}{2} \|u(t_1)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}},$$

where  $J_{\text{high}}$  and  $J_{\text{low}}$  are defined by (1) and (2).

*Proof.* Using subcritical local well-posedness in  $\dot{B}_{\infty, \infty}^{-\epsilon}$  at  $t_1$  we have that there exists a mild/strong solution  $v$  defined on  $[t_1, t''_1]$ . By (6) we have

$$(t - t_1)^{1/2} \|v(t)\|_{\dot{B}_{\infty, \infty}^{1-\epsilon}} \leq c_0 \|v(t_1)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}$$

for all  $t \in (t_1, t''_1)$ . Since  $v(t_1) = u(t_1) \in L^2$  and since the strong solution  $v$  is smooth, integration by parts verifies that  $v$  is also a Leray–Hopf weak solution to

3D NSE. The weak-strong uniqueness result of [8] then guarantees that  $u = v$  on  $[t_1, t_1'']$ . Thus, for any  $t \in [t_1', t_1'']$ ,

$$\lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t)\|_\infty \leq c \lambda_j^{-1} \|u(t_1)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}^{1/(1-\epsilon)+1}$$

for all  $j \in \mathbb{Z}$ . By (1) we conclude that

$$\sup_{j \geq J_{\text{high}}} \lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t)\|_\infty \leq \frac{1}{2} \|u(t_1)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}.$$

The low modes are eliminated using Lemma 3 with  $M = \|u(t_1)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}/2$ .  $\square$

**Definition 5.** We say that  $t$  is an *escape time* if there exists some  $M > 0$  such that  $t = \sup\{s \in (0, T) : \|u(s)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}} < M\}$ .

**Lemma 6.** Fix  $\epsilon \in (0, 1)$  and  $T > 1$ , and assume  $u$  is a Leray–Hopf weak solution to 3D NSE on  $[0, T]$  belonging to  $C(0, T; \dot{B}_{\infty,\infty}^{-\epsilon})$ . Let  $\mathcal{E}$  denote the collection of escape times in  $(0, T)$  and let  $I = \cup_{t \in \mathcal{E}}(t', t'')$ . Then

$$\int_0^T \|u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}^{2/(1-\epsilon)} dt = \infty, \quad (7)$$

if and only if

$$\int_I \|u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}^{2/(1-\epsilon)} dt = \infty. \quad (8)$$

*Proof.* It is obvious that (8) implies (7).

Assume (7). Let  $\{t_k\}_{k \in \mathbb{N}} \subset (0, T)$  be an increasing sequence of escape times which converge to  $T$  at  $k \rightarrow \infty$ . Clearly  $\|u(t_k)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}$  blows up as  $k \rightarrow \infty$ . Since  $u \in C(0, T; \dot{B}_{\infty,\infty}^{-\epsilon})$ ,  $\|u(t_{k_1})\|_{\dot{B}_{\infty,\infty}^{-\epsilon}} < \|u(t_{k_2})\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}$  for all  $k_1 < k_2$ .

We have two cases depending on the condition

$$\exists t_{k_0} \in \{t_k\} \text{ such that } \forall k \geq k_0 \text{ we have } t'_{k+1} \leq t''_k. \quad (9)$$

Case 1: If (9) is true, then  $[t'_0, T) = \cup_{k \geq k_0} [t'_k, t''_k)$ . In this case let  $I = [t'_0, T)$ . Clearly

$$\int_I \|u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}^{2/(1-\epsilon)} dt = \infty.$$

Case 2: If (9) is false then there exists an infinite sub-sequence of  $\{t_k\}$ , which we label  $\{s_k\}$ , such that  $s''_k < s'_{k+1}$  for all  $k \in \mathbb{N}$ . In this case let  $I = \cup_{k \in \mathbb{N}} [s'_k, s''_k)$ . Then,

$$\int_I \|u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}^{2/(1-\epsilon)} dt \geq \sum_{k \in \mathbb{N}} \frac{T^*(s_k)}{2} \|u(s_k)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}^{2/(1-\epsilon)} = \sum_{k \in \mathbb{N}} \frac{C_0^{2/(1-\epsilon)}}{2} = \infty.$$

In either case, we have shown that (7) implies (8).  $\square$



### 3. Proofs of Theorems 1 and 2

*Proof of Theorem 1.* Fix  $\epsilon \in (0, 1)$  and  $T > 0$ , and assume  $u \in C(0, T; \dot{B}_{\infty, \infty}^{-\epsilon})$  is a Leray–Hopf weak solution to 3D NSE on  $[0, T]$ . Assume  $t_0, \dots, t_k$  are as in the statement of the lemma. It suffices to show

$$\|u(t_k)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}} \leq \|u(t_0)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}},$$

since then we re-solve at  $t_0$  and, by local-in-time well-posedness and the weak-strong uniqueness of [8], see that  $u$  is regular at time  $T$ .

If  $k = 0$ , then we are done. Otherwise note that  $t_1 \in (t'_0, t''_0)$ . Apply Lemma 4 at  $t_0$  to conclude that

$$\|u(t_1)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}} \leq \|u(t_0)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}.$$

If  $k = 1$ , then we are done. Otherwise, we repeat the argument and eventually obtain

$$\|u(t_k)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}} \leq \|u(t_0)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}},$$

which completes the proof.

*Proof of Theorem 2.* Assume  $u$  is a Leray–Hopf weak solution on  $[0, T]$  which belongs to  $C(0, T; \dot{B}_{\infty, \infty}^{-\epsilon})$ .

By Lemma 3 with  $M = \|u(t)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}/2$  it follows that

$$\sup_{j \leq J_{\text{low}}(t)} \lambda_j^{-\epsilon} \|\Delta_j u(t)\|_{\infty} < \frac{1}{2} \|u(t)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}. \quad (10)$$

If  $u$  loses regularity at time  $T$ , local well-posedness in  $\dot{B}_{\infty, \infty}^{-\epsilon}$  implies that

$$\|u(t)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}} \geq \left( \frac{c_*}{T-t} \right)^{(1-\epsilon)/2},$$

for a small universal constant  $c_*$ . Therefore,

$$\int_0^T \|u(t)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}^{2/(1-\epsilon)} dt = \infty.$$

Let  $\mathcal{E}$  denote the collection of escape times in  $(0, T)$  and let  $I = \cup_{t \in \mathcal{E}} (t', t'')$ . By Lemma 6

$$\int_I \|u(t)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}^{2/(1-\epsilon)} dt = \infty.$$

For each  $t \in I$  there exists an escape time  $t_0(t)$  so that  $t \in (t'_0, t''_0)$ . Thus,

$$\frac{1}{2} \left( \frac{c_0}{\|u(t_0)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}} \right)^{2/(1-\epsilon)} \leq t - t_0 \leq \left( \frac{c_0}{\|u(t_0)\|_{\dot{B}_{\infty, \infty}^{-\epsilon}}} \right)^{2/(1-\epsilon)}.$$

By re-solving at  $t_0$  using subcritical well-posedness, inequality (6), and weak-strong uniqueness (see [8]), we have

$$(t - t_0)^{1/2} \|u(t)\|_{\dot{B}_{\infty,\infty}^{1-\epsilon}} \leq c_0 \|u(t_0)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}.$$

Consequently,

$$\lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t)\|_{\infty} \leq 2c_0 \lambda_j^{-1} \|u(t_0)\|_{\dot{B}_{\infty,\infty}^{1+1/(1-\epsilon)}}^{1+1/(1-\epsilon)} \leq 2c_0 \lambda_j^{-1} \|u(t_0)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}^{1+1/(1-\epsilon)},$$

where we have used the fact that  $t_0$  is an escape time. Using (1) we obtain

$$\sup_{j \geq J_{\text{high}}(t)} \lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t)\|_{\infty} < \frac{\|u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}}{2}. \quad (11)$$

Combining (10) and (11) yields

$$\int_I \left( \sup_{J_{\text{low}}(t) \leq j \leq J_{\text{high}}(t)} \lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t)\|_{L^\infty} \right)^{2/(1-\epsilon)} dt = \infty,$$

which proves Theorem 2.

*Remark 7.* If we only wanted to eliminate low frequencies in Theorem 2 then an alternative proof is available which we presently sketch. Decompose  $[0, T]$  into adjacent, disjoint intervals  $[t_k, t_{k+1})$  with  $t_{k+1} - t_k \sim 2^{-k}T$ . Then, a solution which is singular at  $T$  must satisfy

$$2^k \lesssim \|u(t \sim t_k)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}^{2/(1-\epsilon)}.$$

Using the Bernstein inequalities we have

$$\begin{aligned} \int_{t_k}^{t_{k+1}} \left( \sup_{j \leq J_0(t)} \lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t)\|_{\infty} \right)^{2/(1-\epsilon)} dt &\leq \int_{t_k}^{t_{k+1}} \left( \sup_{j \leq J_0(t)} \lambda_j^{3/2-\epsilon} \|\dot{\Delta}_j u(t)\|_2 \right)^{2/(1-\epsilon)} dt \\ &\lesssim \|u\|_{L^\infty L^2}^{2/(1-\epsilon)} \lambda_{J_0(t)}^{(3-2\epsilon)/(1-\epsilon)} (t_{k+1} - t_k) \\ &\lesssim \|u\|_{L^\infty L^2}^{2/(1-\epsilon)} 2^{J_0(t)(3-2\epsilon)/(1-\epsilon)} 2^{-k}. \end{aligned}$$

Define  $J_0$  so that  $J_0(t)(3 - 2\epsilon)/(1 - \epsilon) = k/2$  for  $t \in [t_k, t_{k+1})$ . Then, terms on the right hand side are summable and we obtain

$$\int_0^T \left( \sup_{j \leq J_0} \lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t)\|_{\infty} \right)^{2/(1-\epsilon)} dt < \infty.$$

Since the integral over all modes must be infinite at a first singular time, we conclude

$$\int_0^T \left( \sup_{j \geq J_0(t)} \lambda_j^{-\epsilon} \|\dot{\Delta}_j u(t)\|_{\infty} \right)^{2/(1-\epsilon)} dt = \infty.$$

Further analyzing the definition of  $J_0$  and the lower-bound for the  $\dot{B}_{\infty,\infty}^{-\epsilon}$  norm we see that

$$J_0(t) \sim \log_2 \left( \|u(t)\|_{\dot{B}_{\infty,\infty}^{-\epsilon}}^{2/(3-2\epsilon)} \right),$$

which matches the rate found using the other approach.

*Acknowledgements.* The authors are grateful to V. Šverák for his insightful comments which simplified the proofs. Z.G. acknowledges support of the *Research Council of Norway* via the Grant 213474/F20 and the *National Science Foundation* via the Grant DMS 1212023.

## References

1. BAE, H., BISWAS, A., TADMOR, E.: Analyticity and decay estimates of the Navier–Stokes equations in critical Besov spaces. *Arch. Ration. Mech. Anal.* **205**(3), 963–991 (2012)
2. BAHOURI, H., CHEMIN, J.-Y., DANCHIN, R.: Fourier analysis and nonlinear partial differential equations, Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences], vol. 343. Springer, Heidelberg, 2011
3. CHESKIDOV, A., SHVYDKOY, R.: The regularity of weak solutions of the 3D Navier–Stokes equations in  $B_{\infty,\infty}^{-1}$ . *Arch. Ration. Mech. Anal.*, **195**(1), 159–169 (2010)
4. CHESKIDOV, A., SHVYDKOY, R.: A unified approach to regularity problems for the 3D Navier–Stokes and Euler equations: the use of Kolmogorov’s dissipation range. *J. Math. Fluid Mech.* **16**(2), 263–273 (2014)
5. ISKAURIAZA, L., SEREGIN, G., ŠVERÁK, V.:  $L_{3,\infty}$ -solutions of Navier–Stokes equations and backward uniqueness. *Uspekhi Mat. Nauk.* **58**(2(350)), 3–44 (2003)
6. KOZONO, H., OGAWA, T., TANIUCHI, Y.: Navier–Stokes equations in the Besov space near  $L^\infty$  and BMO. *Kyushu J. Math.*, **57**(2), 303–324 (2003)
7. LEMARIÉ-RIEUSSET, P. G.: Recent developments in the Navier–Stokes problem, Chapman & Hall/CRC Research Notes in Mathematics, vol. 431. Chapman & Hall/CRC, Boca Raton, 2002
8. MAY, R.: Extension d’une classe d’unicité pour les équations de Navier–Stokes. *Ann. Inst. H. Poincaré Anal. Non Linéaire* **27**(2):705–718 (2010)
9. SAWADA, O.: On time-local solvability of the Navier–Stokes equations in Besov spaces. *Adv. Differ. Equ.* **8**(4), 385–412 (2003)

Z. BRADSHAW & Z. GRUJIĆ  
University of Virginia  
Charlottesville,  
VA, USA  
e-mail: zg7c@virginia.edu

(Received October 21, 2014 / Accepted November 17, 2016)  
© Springer-Verlag Berlin Heidelberg (2016)